

Electronic Acknowledgement Receipt

EFS ID:	4159160
Application Number:	10562615
International Application Number:	
Confirmation Number:	6138
Title of Invention:	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
First Named Inventor/Applicant Name:	Myung-Hwa Kim
Customer Number:	72960
Filer:	Michael Nicholas Mercanti/Akiko Sakagami
Filer Authorized By:	Michael Nicholas Mercanti
Attorney Docket Number:	HANOL-10659
Receipt Date:	22-OCT-2008
Filing Date:	27-JUL-2006
Time Stamp:	16:55:57
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	ExecutedPOA.pdf	46017 5a1a7425c970e4843ef4a4e371ea92e00972b08a	no	1

Warnings:

Information:

2	Assignee showing of ownership per 37 CFR 3.73(b).	Statement_37CFR3-73b.pdf	43223 60f7e4269aef877cbac114d7e4e32ac3cfedf76	no	1
Warnings:					
Information:					
Total Files Size (in bytes):			89240		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					